

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Gianluca CANGINI et al. )  
Application No.: Not Yet Assigned ) Group Art Unit: Not Yet Assigned  
Filed: June 14, 2006 ) Examiner: Not Yet Assigned  
National Stage of International Application No. )  
PCT/EP2003/014385 under 35 U.S.C. 371, )  
for **METHOD AND APPARATUS FOR** )  
**MONITORING OPERATION OF** )  
**PROCESSING SYSTEMS, RELATED** )  
**NETWORK AND COMPUTER PROGRAM** )  
**PRODUCT THEREFOR** )

**MAIL STOP PCT**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO/SB/08 and cited in the international search report. With exception of the U.S. patents and publications, copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO/SB/08 and indicate that they were considered by making an appropriate notation on this form. This Information Disclosure Statement is being filed with the above-referenced application.

Also enclosed is an English-language international search report from the European Patent Office in the PCT international application, from which this national phase U.S. application is derived.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: June 14, 2006

By:

  
Ernest F. Chapman  
Reg. No. 25,961

Enclosures  
EFC/FPD/sci

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				<i>Complete if Known</i>	
				10/582848	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>					
Sheet	1	of	1	Application Number	Not Yet Assigned
				Filing Date	June 14, 2006
				First Named Inventor	Gianluca CANGINI et al.
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	09952.0060

<b>U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US 6,487,666 B1	11-26-2002	SHANKLIN et al.	
		US 6,321,338 B1	11-20-2001	PORRAS et al.	
		US 5,278,901	01-11-1994	SHIEH et al.	
		US 2002/0138755 A1	09-26-2002	KO	
		US 2002/0083343 A1	06-27-2002	CROSBIE et al.	
		US 2002/0046275 A1	04-18-2002	CROSBIE et al.	

**Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004**

<b>FOREIGN PATENT DOCUMENTS</b>						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 02/19077 A2	03-07-2002	DE JESUS VALDES et al.		
		WO 01/31420 A2	05-03-2001	DIEP et al.		
		EP 0 985 995 A1	03-15-2000	DACIER et al.		

<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			Translation <sup>6</sup>
		ILGUN, K. et al., "State Transition Analysis: A Rule-Based Intrusion Detection Approach," IEEE Transactions on Software Engineering, Vol. 21, No. 3, pp.181-199, (March 1995).			
		LUNT, T. F. et al., "Knowledge-Based Intrusion Detection," AI Systems in Government Conference, Proceedings of the Annual, pp. 102-107, (March 27, 1989).			
		VIGNA, G. et al., "The STAT Tool Suite," Proceedings of DISCEX 2000, pp. 1-10, (2000).			
		FORREST, S. et al., "A Sense of Self for Unix Processes," IEEE Symposium on Security and Privacy, 9 Sheets, (1996).			
		WAGNER, D. et al., "Intrusion Detection Via Static Analysis," IEEE Symposium on Security and Privacy, 13 Sheets, (2001).			
		KUMAR, S. et al., "A Pattern Matching Model For Misuse Intrusion Detection," Proceedings of the 17 <sup>th</sup> National Computer Security Conference, 11 Sheets.			

Examiner Signature		Date Considered	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.